

022404

17621 U.S. PTO

70377 U.S. PTO
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022404

**UTILITY PATENT
APPLICATION TRANSMITTAL**(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Check Box, if applicable [] Duplicate

Attorney Docket No.

042140

Total Pages

First Named Inventor or Application Identifier

Masafumi IDE

Express Mail Label No.

APPLICATION ELEMENTS FOR:

**LIQUID CRYSTAL OPTICAL MODULATOR AND
DRIVE METHOD**

ADDRESS TO:

Commissioner for Patents
MAIL STOP PATENT APPLICATION
P. O. Box 1450
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (Incorporated within this form)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Pages [65]
3. ☒ Drawing(s) (35 USC 113) Total Sheets [36]
4. ☒ Oath or Declaration Total Pages [2]
 - a. ☒ Newly executed (original)
 - b. ☐ Copy from prior application (37 CFR 1.63(d)
(for continuation/divisional with Box 17 completed).
 - i. ☐ Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by reference (useable if box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement Verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet and document(s)) to: Citizen Watch Co., Ltd., Tokyo, Japan
Please publish the assignee data with the application.
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

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10. ☐ English translation Document (if applicable)

11. ☐ Information Disclosure Statement ☐ Copies of IDS Citations

12. ☒ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity Status is claimed.

15. ☒ Claim for Convention Priority ☒ [1] Certified copy of Priority Document is enclosed.

Priority of Japanese application No. 2003-054356 filed on February 28, 2003 is claimed under 35 USC 119.

16. ☐ Other

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. ____/

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	.. Basic Fee
The filing fee is calculated below				\$770.00
Total Claims	38 - 20	18	x \$18.00	324.00
Independent Claims	8 - 3	5	x \$86.00	430.00
Multiple Dependent Claims			\$290.00	290.00
Total Basic Filing Fee				1814.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	40.00
TOTAL				\$1854.00

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[XX] A check in the amount of \$1,854.00 is enclosed to cover the filing fee. \$770.00 for basic filing fee; \$324.00 for 18 additional claims; \$430.00 for 5 additional independent claims; \$290.00 for multiple dependent claims and the assignment recordation fee of \$40.00.

[] Please charge our Deposit Account No. **50-2866** in the total amount of _____ to cover the filing fee and the _____ assignment recordation fee. A duplicate of this sheet is attached.

[XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account no. 50-2866. A duplicate of this sheet is attached.

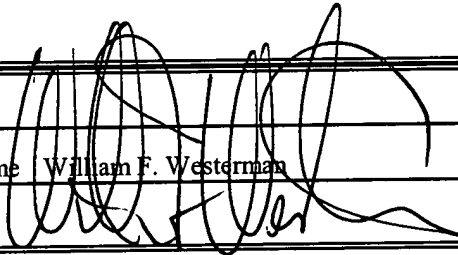
18. CORRESPONDENCE ADDRESS:

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP
Customer No. 38834

SUBMITTED BY

Typed or Printed Name William F. Westerman

Reg. No. 29,988

Signature 

Date: February 24, 2004

WFW/yap

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